

In The United States Patent and Trademark Office

In re Application of: Donoho, et al.

Serial No.: 09/782,754

Filed: 12 February 2001

Title: Method and Apparatus for Computed Relevance Messaging

Docket No.: UNIV0001C

Art Unit: 2154

Examiner: Siddiqi, Mohammad A.

REQUEST FOR A CORRECTED FILING RECEIPT

July 18, 2005

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

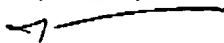
Applicant, by his attorney, requests correction of the Official Filing Receipt for the above-referenced patent application. The domestic priority number was omitted from the official filing receipt.

Please add continuation of U.S. Serial Number 09/272,937 filed 03/19/1999, in the Domestic priority category.

Attached is a copy of the utility transmittal of the application as filed claiming U.S. Serial Number 09/272,937 as a priority document. A copy of the Official Filing Receipt is also enclosed, showing the requested correction.

Since this appears to be a PTO error, Applicant believes no additional fees are due. However, the Commissioner is authorized to charge any fees and credit any overpayments to deposit account #07-1445 (Order no. UNIV0001C).

Respectfully submitted,


Michael A. Glenn
Reg. No. 30,176

Customer No. 22862

COPY

In The United States Patent and Trademark Office

In re Application of: Donoho, et al.

Docket No.: UNIV0001C

Serial No.: 09/782,754

Art Unit: 2154

Filed: 12 February 2001

Examiner: Siddiqi, Mohammad A.

Title: Method and Apparatus for Computed Relevance Messaging

REQUEST FOR A CORRECTED FILING RECEIPT

July 18, 2005

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Applicant, by his attorney, requests correction of the Official Filing Receipt for the above-referenced patent application. The domestic priority number was omitted from the official filing receipt.

Please add continuation of U.S. Serial Number 09/272,937 filed 03/19/1999, in the Domestic priority category.

Attached is a copy of the utility transmittal of the application as filed claiming U.S. Serial Number 09/272,937 as a priority document. A copy of the Official Filing Receipt is also enclosed, showing the requested correction.

Since this appears to be a PTO error, Applicant believes no additional fees are due. However, the Commissioner is authorized to charge any fees and credit any overpayments to deposit account #07-1445 (Order no. UNIV0001C).

Respectfully submitted,



Michael A. Glenn
Reg. No. 30,176

Customer No. 22862

COPYPlease type a plus sign (+) inside this box → ☒Approved for use through 09/30/2000. CMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. UNIV0001C

First Inventor or Application Identifier Donoho et al.

Title Method and Apparatus for Computed Relevance...

Express Mail Label No. EL540887070US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ * Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages 282]
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the invention
 - Brief Summary of the invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 25]
4. Oath or Declaration [Total Pages 2]
- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

*** NOTE FOR ITEMS 1 & 15: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY
FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.37), EXCEPT
IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).**

ADDRESS TO:Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
13. ☐ Small Entity Statement(s) ☒ Statement filed in prior application, Status still proper and desired (PTO/SB/03-12)
14. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
15. ☐ Other:

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No. 09 / 272,937

Prior application information: Examiner J. CARDONE

Group / Art Unit: 2756

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS☒ Customer Number or Bar Code Label

22862

(Insert Customer No. or Attach bar code label here)

or ☐ Correspondence address below

Name			
Address			
City	State	Zip Code	
Country	Telephone	Fax	

Name (Print/Type)	Michael A. Glenn	Registration No. (Attorney/Agent)	30,176
Signature		Date	2/12/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Priority
Claimed →

COPY

Page 1 of 3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/782,754	02/12/2001	2152	395	UNIV0001C	25	16	4

CONFIRMATION NO. 1053

22862
GLENN PATENT GROUP
3475 EDISON WAY
SUITE L
MENLO PARK, CA 94025

UPDATED FILING RECEIPT

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60 61 62 63 64 65 66 67 68 69 70 71 72 73 74 75 76 77 78 79 80 81 82 83 84 85 86 87 88 89 90 91 92 93 94 95 96 97 98 99 100
"OC000000006267777"

Date Mailed: 07/09/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

David Leigh Donoho, Berkeley, CA;
David Salim Hindawi, Berkeley, CA;
Lisa Ellen Lippincott, Berkeley, CA;

Domestic Priority data as claimed by applicant

→ Please add "continuation of 09/272,937 filed 3/19/1999"
Foreign Applications

If Required, Foreign Filing License Granted 04/23/2001

Projected Publication Date: 08/15/2002

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Method and apparatus for computed relevance messaging

Preliminary Class

709

RECEIVED

JUL 11 2001

MICHAEL GLENN

COPY

Page 2 of 3

Data entry by : MOHAMED, RIZAHA

Team : OIPE

Date: 07/09/2001

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE

COPY

Page 3 of 3

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

COPY

Patent and Trademark Office (PTO) Acknowledgement

Please acknowledge the receipt of the enclosed documents, listed below, by imprinting the PTO date stamp in the space indicated and returning this postcard to the addressee indicated on the reverse.

Re: Application- Donoho et al. Ser. No. Unassigned

Method and Apparatus for Computed Relevance Messaging File No. UNIV0001C

First Class Mail ☒ Express Mail - Cert No. EL540887070US
 Certificate of First Class Mailing ☒ Certificate of Express Mailing

Date Mailed: February 12, 2001
 Patent Application Type Continuation
 Oath/Declaration/Power of Attorney Copy
 Assignments & Assignment Recordation
 Patent Application Transmittal Form
 Formal Drawings - no. of sheets
 Informal Drawings - no. of sheets 25
 Information Disclosure Statement-
 1449 Form
 Cited References
 Response (Amendment)
 Preliminary amendment
 Extension of Time - 2 Months

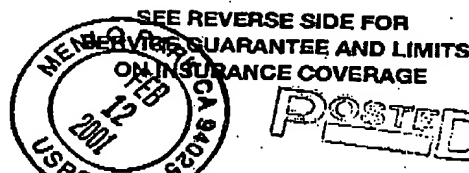
Small Entity Statement - Business
 Small Entity Statement - Independent
 Copy of Missing Parts Notice
 Response to Missing Parts Notice
 Corrected provisional application transmittal
 Copy of PTO stamped postcard showing receipt
 Petition to Revive-Unintentional
 Issue fee transmittal in duplicate-Copy
 Transmittal Form
 Other:
☒ Fee \$ 395.00
☒ Check No. Charge to MAG deposit account.

PTO
 09/18/2001
 02/12/01

**POST OFFICE
TO ADDRESSEE**

EL540887070US

ORIGIN (POSTAL USE ONLY)		
PO ZIP Code <u>94025</u>	Day of Delivery <input checked="" type="checkbox"/> Next <input checked="" type="checkbox"/> Second	Flat Rate Envelope <input type="checkbox"/>
Date in <u>2/12/01</u>	<input type="checkbox"/> 12 Noon <input checked="" type="checkbox"/> 3 PM	Postage \$ <u>21.70</u>
Time in <u>1047</u>	<input type="checkbox"/> 1st Day <input type="checkbox"/> 2nd Day <input type="checkbox"/> 3rd Day	Return Receipt Fee
Weight <u>3</u> lbs. <u>8</u> oz.	Int'l Alpha Country Code	COD Fee Insurance Fee
No Delivery <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday	Acceptance Clerk Initials <u>AK</u>	Total Postage & Fees \$ <u>21.70</u>



CUSTOMER USE ONLY (METHOD OF PAYMENT)		<input type="checkbox"/> WAIVER OF SIGNATURE (Signature required for delivery to be made without obtaining signature of addressee or authorized agent. Delivery to addressee's location can be left in secure location and I authorize that delivery employee's signature constitute valid proof of delivery.)	
Express Mail Corporate Acct. No.	<u>X940149</u>	NO DELIVERY	<input type="checkbox"/> Home <input type="checkbox"/> Office

FROM: (PLEASE PRINT) PHONE 650 474 8400
 GLENN PATENT GROUP
 3475 EDISON WAY STE L
 MENLO PARK CA 94025-1821

TO: (PLEASE PRINT) PHONE 1-800-222-1811
 ASSISTANT COMMISSIONER
 FOR PATENTS
 BOX PATENT APPLICATIONS
 WASHINGTON DC 20231-0001

PRESS HARD.
You are making 3 copies.

FOR PICKUP OR TRACKING CALL 1-800-222-1811 www.usps.gov

**BEST AVAILABLE COPY**